



PATENT APPLICATION
Docket No. 694231/0006

Handwritten signature/initials

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Thomas J. Shafron et al.
Serial No. : 09/904,300 Group Art Unit: 2152
Filed : July 12, 2001 Examiner: Not yet assigned
For : **METHOD AND SYSTEM FOR ENABLING A SCRIPT ON A FIRST
COMPUTER TO COMMUNICATE AND EXCHANGE DATA WITH A
SCRIPT ON A SECOND COMPUTER OVER A NETWORK**

INFORMATION DISCLOSURE STATEMENT

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

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Sir:

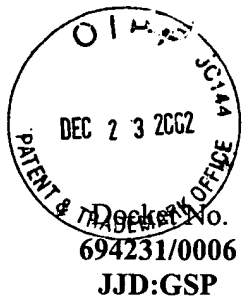
This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application.

The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. _____, filed _____.
4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application; or
☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or

- ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits; or
- ☐ 37 C.F.R. §1.97(b)(4), before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114, whichever event occurred last.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action under 37 C.F.R. §1.113 or a notice of allowance under 37 C.F.R. §1.311 or an action that otherwise closes prosecution in the application:
- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee.
- ☐ Charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 19-4709. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. ☐ one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. ☐ the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 10 below.
8. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue.
- b. ☐ The fee due under 37 C.F.R. §1.17(p) is paid as set forth in paragraph 10 below.
9. ☒ I hereby certify that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ I hereby certify that no item of information contained in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge, after making a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.



2152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Thomas J. Shafron, et al.**

Art Unit: **2152**

Application No.: **09/904,300**

Examiner: **Not Yet Assigned**

Filed: **July 12, 2001**

For: **METHOD AND SYSTEM FOR ENABLING A SCRIPT ON A FIRST
COMPUTER TO COMMUNICATE AND EXCHANGE DATA WITH A
SCRIPT ON A SECOND COMPUTER OVER A NETWORK**

Date: **December 17, 2002**

Commissioner for Patents
Washington, DC 20231

CERTIFICATE OF MAILING
BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)

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Sir:

I hereby certify that, pursuant to 37 C.F.R. § 1.8, the following correspondence:

**Information Disclosure Statement (3 pp.); Information Disclosure Statement by Applicant
(PTO/SB/08a) (1 p.); 5 references and International Search Report; and Return Postcard**

is being deposited on **December 17, 2002** with the United States Postal Service as first class mail in an
envelope bearing sufficient postage thereon and addressed to:

Commissioner For Patents
Washington, DC 20231

Beatrice Cahn

(Typed Or Printed Name Of Person Mailing Correspondence)

Beatrice Cahn
(Signature Of Person Mailing Correspondence)

10. ☐ A check in the amount of \$180.00 is enclosed in payment of the fee due under C.F.R. §1.17(p).
- ☐ Charge the fee due under C.F.R. §1.17(p) to Deposit Account No. 19-4709. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-4709. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
11. ☒ Additional Comments.


A COPY OF THE INTERNATIONAL SEARCH REPORT FOR CO-PENDING INTERNATIONAL APPLICATION NO. PCT/US02/22209 IS ATTACHED.

Respectfully submitted,

STROOCK & STROOCK & LAVAN LLP

David J. Schaeffer
Reg. No. 32,716

Dated: December 17, 2002

By:  _____
James J. DeCarlo
Registration No. 36,120

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